Attv's Dkt:JIANG4A Rec'd PCT/PTO 1 2 APR 2005

In re Application of:)	Application Division
Zi-Hua JIANG)	ATTN: PCT
Serial No. 10/502,085)	Washington, D.C.
A Filing Date: February 4, 2003)	Confirmation No. 3733
For: IMMUNOSTIMULATORY, COVALENTLY LIPIDATED)	Date: April 12, 2005

LATE SUBMISSION OF DECLARATION AND/OR TRANSLATION IN APPLICATION FILED UNDER 35 USC 371

U.S. Patent and Trademark Office
Customer Service Window
Randolph Building, Mail Stop Missing Parts
401 Dulany Street
Alexandria, VA 22374

Sir

The present communication is in response to the "NOTICE OF MISSING REQUIREMENTS UNDER 35 USC 371..." dated December 17, 2004. Attached hereto is/are:

- [XX] An executed oath or declaration in compliance with 37 C.F.R. 1.63, identifying the present application by title and priority information.
- [XX] A Preliminary Amendment [XX] Fees are reduced due to elimination of claim multiple dependencies.
- [XX] Response to Sequence Listing Requirement including Paper Sequence Listing, Copy of the "Sequence Listing" in computer readable form (CRF), and statement that contents of the CRF are the same as the paper copy.
- [XX] Supplemental Application Data Sheet (ADS).
- [XX] Additional fees as calculated below:

[] Basic fee		Previously paid	
	of for furnishing the oath or declaration late ned priority date (37 CFR 1.492(e)).	r than [] 20 [XX] 30 months	\$130.00
Number of each addit up to a whole number	tional 50 pages or fraction thereof (round r)	RATE	
	·	X \$250.00	
Number of Claims Previously Paid For	Number of Extra Claims	Rate	
98- 20 =	78	X \$ 50.00	\$3,900.00
5- 3 =	2	X \$200.00	\$400.00
Multiple Dependent Claims (if applicable) + \$360.00		\$	
	TOTAL O	F ABOVE CALCULATIONS	\$4,430.00
Reduction of ½ for filing by small entity, if applicable. Applicant claims small entity status. See 37 CFR 1.27.			<\$2,215.00 >
		SUBTOTAL	\$2,215.00
Processing fee of \$130.00 for late furnishing of the English translation.			\$
		TOTAL NATIONAL FEE	\$2,215.00

[XX] It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a). The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

	5	Small E	ntity		Other 7	Than Sr	nall Entity
Respon	nse Filed With	nin		Respo	nse Filed With	nin	-
[]	First	-	\$ 60.00	[]	First	-	\$ 120.00
įxxi	Second	-	\$ 225.00	Ĺj	Second	-	\$ 450.00
i i	Third	-	\$ 510.00	ΙĪ	Third	-	\$1,020.00
i i	Fourth	-	\$ 795.00	ĹÍ	Fourth	-	\$1,590.00
ίi	Fifth	-	\$1,080.00	ĹĬ	Fifth	-	\$2,160.00
month	after time per	iod set		month	after time per	iod set	

[XX] Total fees enclosed: \$2,440 .00

[XX] Credit Card Payment Form, PTO-2038, authorizing payment the amount of \$ 2,440.00 is enclosed to cover the above fees.

[XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does <u>not</u> include patent issue fees under 37 CFR 1.18.

04/15/2005 MKAYPAGH 00000055 10502085

01 FC:2617 65.00 OP 02 FC:2615 1950.00 OP 03 FC:2614 200.00 OP BROWDY AND NEIMARK, P.L.L.C.
Attorneys for Applicant(s)

Ву:

Ver P. Cooper Registration No. 28,005

IPC:ses



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Pätent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Virginia 22313-1450 www.usplu.gov

OC000000014784057

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/502,085 Zi-Hua Jiang JIANG4A INTERNATIONAL APPLICATION NO. PCT/CA03/00135 RECEIVED 001444 I.A. FILING DATE PRIORITY DATE BROWDY AND NEIMARK, P.L.L.C. 02/04/2003 02/04/2002 624 NINTH STREET, NW **SUITE 300** DEC 23 JUGA WASHINGTON, DC 20001-5303 **CONFIRMATION NO. 3733 371 FORMALITIES LETTER** BROWDY AND NEIMARK WASHINGTON, D.C. 20004

Date Mailed: 12/17/2004

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/22/2004
- Copy of the International Search Report filed on 07/22/2004
- Biochemical Sequence Listing filed on 07/22/2004
- Request for Immediate Examination filed on 07/22/2004
- U.S. Basic National Fees filed on 07/22/2004
- Priority Documents filed on 07/22/2004
- Power of Attorney filed on 07/22/2004

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$4860 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$4990 for a Large Entity:

• 1\$130 Late oath or declaration Surcharge.

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e) and PCT Rule 13ter.1(a)(ii).
 - APPLICANT MUST PROVIDE:
 - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentln Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- Total additional claim fee(s) for this application is \$ 4860
 - \$400 for 2 independent claims over 3.
 - \$4100 for 82 total claims over 20.
 - \$360 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

SHAKEEL AHMED

Telephone: (703) 305-3659

PART 1 - ATTORNEY/APPLICANT COPY

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L	U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
	10/502,085	PCT/CA03/00135	JIANG4A

FORM PCT/DO/EO/905 (371 Formalities Notice)